

ELECTRONIC INFORMATION DISCLOSURE STATEMENT

Electronic Version v18

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| Title of Invention | SECURITY ANALYST ESTIMATES PERFORMANCE VIEWING SYSTEM AND METHOD |
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Application Number : 09/524253



Confirmation Number: 7026

First Named Applicant: Joseph GATTO

Attorney Docket Number: 089070-0311364

Art Unit: 3624

Examiner: Narayanswamy Subramanian

Search string: (6792399).pn

US Patent Documents

Note: Applicant is not required to submit a paper copy of cited US Patent Documents

| init | Cite.No. | Patent No. | Date | Patentee | Kind | Class | Subclass |
|------|----------|------------|------------|-----------------|------|-------|----------|
| NJ | 1 | 6792399 | 2004-09-14 | Phillips et al. | | 703 | 2 |

Remarks

Note: Remarks are not for responding to an office action.

This Information Disclosure Statement is being filed more than three months after the filing date of a national application other than a continued prosecution application under 37 C.F.R. 1.53(d), or more than three months after the date of entry of the national stage as set forth in 37 C.F.R. 1.491 in an international application, and after the mailing date of the first Office Action on the merits, but before the mailing date of any of a Final Action under 37 C.F.R. 1.113, a Notice of Allowance under 37 C.F.R. 1.311, or an action that otherwise closes prosecution in the application. 37 C.F.R. 1.97(c). This document was cited by the U.S. Patent Office in co-pending Applications Serial No. 09/893,460, filed June 29, 2001, and Serial No. 09/775,599, filed February 5, 2001, in Office Actions dated October 11, 2005. I hereby certify that no item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to my knowledge after making reasonable inquiry, no item of information contained in this Information Disclosure Statement was known to any individual designated in 37 C.F.R. 1.56(c) more than three months prior to the filing of this Information Disclosure Statement. 37 C.F.R. 1.97(e)(2).

Signature

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| Examiner Name | Date |
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